### U.S. DEPARTMENT OF EDUCATION OFFICE OF POSTSECONDARY EDUCATION

### INSTITUTIONAL DEVELOPMENT AND UNDERGRADUATE EDUCATION SERVICE WASHINGTON, D.C. 20006-8512



#### GUIDE FOR THE PREPARATION OF THE FINAL PERFORMANCE REPORT FOR GRANTS UNDER TITLE III – INSTITUTIONAL AID PROGRAMS

#### **PART A**

- **■** STRENGTHENING INSTITUTIONS PROGRAM (CFDA #84.031A)
- AMERICAN INDIAN TRIBALLY CONTROLLED COLLEGES AND UNIVERSITIES PROGRAM (CFDA #84.031T)
- ALASKA NATIVE SERVING INSTITUTIONS PROGRAM (CFDA #84.031N)
- NATIVE HAWAIIAN SERVING INSTITUTIONS PROGRAM (CFDA #84.031W)
- HISPANIC SERVING INSTITUTIONS PROGRAM [FUNDED UNDER TITLE III PRIOR TO FY 1999] (CFDA #84.031S)

#### **PART B**

- STRENGTHENING HISTORICALLY BLACK COLLEGES AND UNIVERSITIES PROGRAM (CFDA #84.031B)
- STRENGTHENING HISTORICALLY BLACK GRADUATE INSTITUTIONS (CFDA #84.031B)

#### PART E

MINORITY SCIENCE AND ENGINEERING IMPROVEMENT PROGRAM
[FORMERLY TITLE X-PART B-MINORITY SCIENCE IMPROVEMENT PROGRAM]
(CFDA #84.120A)

OMB No. 1840-0764 EXPIRATION DATE: 08/31/2004

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#### DISCLOSURE OF BURDEN STATEMENT

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0764. The time required to complete this information collection is estimated to be an average of 10 hours per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, write directly to: Institutional Development and Undergraduate Education Service, U.S. Department of Education, 1990 K Street, NW, 6<sup>th</sup> Floor, Washington, DC 20006-8512.

#### October 11, 2001

#### Dear Project Director:

Some time ago, we awarded your organization a grant under the Higher Education Act (HEA) Title III Program of the Department of Education. Under the terms of the grant, your organization is required to submit a final performance report containing an evaluation of the activities and accomplishments funded by the program. Normally this report is due within ninety (90) days after the end of the final budget period of the grant.

However, in this case, the final performance report form, which must be approved by the Office of Management and Budget before it can be sent out, had expired. It was necessary to develop a new form, and to submit it to a lengthy approval process, which was only completed last month. We are, therefore, extending this report's due date until January 31, 2002.

We realize much time has elapsed for some of you between the end of your grant period and the receipt of this final performance report form. In order to be on record a number of grantees submitted their reports under the old format, although it had expired. Others have kept in contact with our office to express their interest in completing their reporting requirement. I apologize for the inconvenience that this delay has caused you, and acknowledge that it was not your fault. Now that we have an OMB-approved form, we must request that you respond to it in final fulfillment of your grant reporting requirements.

To assist in the preparation of the final performance report, we are pleased to provide this booklet, which includes the required forms and instructions for compliance with the Department's reporting requirements. <u>See</u>, Section 74.50-53 of the Education Department General Administrative Regulations (EDGAR).

This booklet is for grantees under the following programs: Strengthening Institutions; American Indian Tribally Controlled Colleges and Universities; Alaska Native Serving Institutions; Native Hawaiian Serving Institutions; Strengthening Historically Black Colleges and Universities; Strengthening Historically Black Graduate Institutions; Minority Science and Engineering Improvement (formerly Minority Science Improvement Program under Title X – Part B of the HEA of 1965, as amended) and Hispanic Serving Institutions (funded by Title III prior to FY 1999).

I am taking this opportunity to call to your attention to the Government Performance and Results Act (GPRA). GPRA was enacted by Congress in 1993, and requires that all federal agencies improve their accountability for expenditure of public funds. Federal agencies assist in the improvement of Congressional decision-making by providing more objective and quantitative information on the effectiveness of Federal programs.

The Department has determined that indicators based on academic quality, institutional management, fiscal stability, and student success would yield the best evidence of Title III program effectiveness. The performance indicators, as formulated by the Department, examine the impact of activities supported by the grant that implemented faculty development, access to technology, establishment or improving development offices, fiscal balances, minority student access, degree attainment and development/ improvement of the physical plant. We expect to receive useful information of the impact of the Title III grant award on the entire organization.

In order for us to comply with GPRA, we ask that you include in your final performance report the most accurate qualitative and quantitative data. Your cooperation will help in preparing more comprehensive reports for the Congress that reflect the Department's priorities, service delivery, accomplishments and customer satisfaction.

Please send an original and one copy of the completed report no later than January 31, 2002 by regular mail or by a courier service to:

Title III Programs/Final Performance Report Institutional Development and Undergraduate Education Service U.S. Department of Education 1990 K Street, N.W., 6<sup>th</sup> Floor Washington, DC 20006-8512

This document may be downloaded from the IDUES website at <a href="http://www.ed.gov/offices/OPE/HEP/idues/title3a.html">http://www.ed.gov/offices/OPE/HEP/idues/title3a.html</a>. Currently, IDUES does not have the capabilities to receive this report via electronic submission. When the development of our performance measurement system is completed, we will be able to receive electronic submissions of annual interim and final reports. As soon as the electronic facilities are ready, IDUES program managers will inform the grantees about the option of electronic submission and will provide the necessary instructions for the effective utilization of the system.

Any questions or comments regarding the instructions and completion of the forms should be directed to the program officer assigned to your grant. A listing of program officers, their telephone numbers and e-mail addresses, and their respective assignments may be found in the appendix of this booklet.

Assuming that some of you in the future will apply for and receive another grant under Title III, I want to alert you to a new reporting development.

In conjunction with this final reporting form, we are developing an annual reporting form that will replace the current annual report now being used. This new annual report will be a Performance Measurement System that is being designed to accomplish two purposes: (1) collect data and information from IDUES grantees that will be used for the GPRA reporting requirements; and (2) provide a reporting mechanism to substantiate whether each grant is making substantial progress in meeting its stated goals and objectives.

We plan to have this annual reporting system in place and implemented by the end of Fiscal Year 2002. Please review the IDUES website for information and updates on the status of this system.

Again, for those of you who had an extended wait for this reporting form, let me thank you for your patience and understanding. Your cooperation is greatly appreciated.

Sincerely,

Margarita Benítez Director Institutional Development and Undergraduate Education Service

#### THE GOVERNMENT PERFORMANCE AND RESULT ACT (GPRA)

#### What is GPRA?

The Government Performance and Result Act of 1993 (GPRA) is a straightforward statute that requires all federal agencies to manage their activities with attention to the consequences of those activities. Each agency is to clearly state what it intends to accomplish, identify the resources required, and periodically report its progress to the Congress. In so doing, it is expected that the GPRA will contribute to improvements in accountability for the expenditures of public funds, improve congressional decision-making through more objective information on the effectiveness of federal programs, and promote a new government focus on results, service delivery, and customer satisfaction.

How has the Department of Education responded to the GPRA requirements? As required by GPRA, the Department has prepared a strategic plan for 1999-2001. This plan reflects the Department's priorities and integrates them with its mission and program legislative authorities. They describe how the Department will work to improve education for all children and adults in the U.S. The Department's goals, as listed in the plan, are:

Goal 1: To help all students reach challenging academic standards so that

they are prepared for responsible citizenship; further learning, and

projective employment.

Goal 2: To build a solid foundation for learning for all children.

Goal 3: To ensure access to postsecondary education and lifelong learning.

Goal 4: To make the Department of Education a high performance

organization by focusing on results, service quality, and customer

satisfaction.

The performance indicators for the Title III – Institutional Aid Programs are part of the Department's plan for meeting Goal 3: Ensure access to postsecondary education and lifelong learning.

#### What are the performance indicators for the Title III – Institutional Aid Programs?

The Department's goal for the Title III Programs is "to equalize educational opportunity". The specific performance indicators for the Title III Programs are as follows:

#### Objective #1: To improve academic quality of participating institutions.

<u>Indicator 1.1</u> Specialized accreditation: The percentage of Title III institutions having specialized accreditation, a measure of academic program quality, will be maintained or increased.

<u>Indicator 1.2</u> Graduation rates: Completion rates for full-time, degree-seeking students in Title III 4-year and 2-year colleges will increase over time.

Objective #2: To improve the fiscal stability of participating institutions.

<u>Indicator 2.1</u> Fiscal Balances: Over 90 percent of Title III-funded institutions will continue to maintain a positive fiscal balance.

<u>Indicator 2.2</u> Endowment: The percent of Title III institutions with endowments will increase over time.

#### INTRODUCTION AND INSTRUCTIONS FOR COMPLETING THE REPORT

The overall purpose of the Title III Final Performance Report is to document and collect information, which reflects the long-term impact of the funded projects on the grantees' overall development, academic accomplishments and financial stability. The information collected also is used by the Department to make judgements about future funding of applications submitted by former Title III grantees. (34 CFR, Part 607, Section 607.24)

The final performance report is intended to demonstrate to Congress and the public how Title III funds have strengthened the grantees' project and made them better able to serve the target population effectively. This information is a factor when Congress considers the annual funding for the Title III programs.

The diversity of the Title III activities, as well as the multiplicity of goals expressed in the authorizing legislation, makes it difficult to aggregate data in a way that could document the overall program's outcomes. Therefore, in order to facilitate the review, analysis and evaluation of the data and information the final performance report consist of four (4) major components:

Part I: Areas of Improvement

Part II: Quantitative Component

Part III: Qualitative Component: Narrative

Part IV: Suggestions, Recommendations and Additional Comments. (Optional)

In each of the annual progress reports, we asked you to report on whether you had successfully accomplished the objectives outlined and approved in your project's original application, and if not, why. Now, at the end of your grant, we are asking you to report your accomplishments. We have designed the report forms so that we can easily aggregate the information for reporting purposes, and to reduce the burden on you.

Grantees who have implemented simultaneously two (2) or more projects must submit separate reports for each grant.

#### **PART I**

#### AREAS OF IMPROVEMENT

Planning grants (Title III-Part A) and Design Projects (MSEIP) grantees do not complete Part I.

Part I covers four Areas of Improvement in which you may have undertaken allowable activities in order to further develop your organization. The Areas of Improvement are listed across the form and the allowable activities are listed on the left side of the form.

Please indicate by filling in the bubbles, which **Allowable Activities** you undertook and the **Area of Improvement** in which the activity was undertaken. For example, if you renovated classrooms, it is likely that you did so to improve an Academic Program. You would fill in the bubble across from allowable activity --Renovation of Classroom and under the Area of Academic Programs. If your purpose for the renovation also was to improve student services, you would fill in that bubble too. In the far right-hand column, please indicate the total amount you expended on the activity over the grant period.

#### **PART II**

#### **QUANTITATIVE COMPONENT**

Please respond to the questions in a complete and accurate manner. If the information is not available, please mark N/AV in space provided. If the question does not apply to your particular project, please make N/AP in space provided.

PARTS III & IV (see pages 10 and 11)

**QUALITATIVE COMPONENT: NARRATIVE** 

#### TITLE III FINAL REPORT

#### **IMPORTANT NOTE**:

Use a #2 pencil only, fill the oval completely and make proper marks.

#### PART I

Proper Mark:

proper Marks: O O O

#### AREAS OF IMPROVEMENT

	Administrative Management	Fiscal Management	Academic Programs	<b>Student Services</b>	\$ Expended
		ALLOWABLE ACTIVITI	ES		Over 5 years
Academic Program Start Up (new program development)	•	O	O	0	
Academic Program Enhancement	0	0	0	0	
Construction of Classrooms	0	0	0	0	
Construction of Library	<b>O</b>	•	O	0	
Other Construction	0	0	0	0	
Equipment Purchase	<b>O</b>	•	O	0	
Establish Development Office/Fundraisi	ng O	•	O	0	
Enhance Development Office/Fundraisin	ng O	0	0	•	
Renovation of Library	O	O	O	•	
Renovation of Classrooms	0	0	0	0	
Renovation of Other Facilities	0	0	0	0	
Facilities Sharing	0	0	0	0	
Funds Management	0	0	0	0	
Establish Endowment Fund	0	0	0	0	
Increase Endowment Fund	O	0	O	0	
Faculty Development for Terminal Degr	rees O	0	0	0	
Faculty/Staff Development for Academic Instruction	Э	•	O	•	
Library EnhancementAdd Volumes	O	O	O	O	
Library EnhancementStaff	О	O	0	0	
Library EnhancementTechnology	O	O	O	O	
Distance Learning	O	O	O	O	
Student Services	O	O	O	O	
Scholarships (HBGI ONLY)	0	•	O		

#### PART II

#### QUANTITATIVE COMPONENT

Please respond to the questions in a complete and accurate manner. If the information is not available, please mark N/AV in space provided. If the question does not apply to your particular project, please mark N/AP in space provided.

1.	What percent of your institution	on's total operating budget ( N/AV	\$) o	did the total Title III gran	t (\$	) represent?
2.	If you sought to establish or at the end of your grant \$%	increase your endowment, v ? What percent of t N/AV	what was y he endow N/AP_	your total endowment at ment fund is represented	the start of y by Title III?	our grant \$
3.	How many new programs or	courses did you develop wi	th the ass N/AP_	istance of Title III funds	)	
4.	Did Title III funds assist you t	o gain one or more program YES			ve before the	grant?
5.	If so, how many new program 1				funds?	
6.	Did your organization use Ti graduation?	tle III funds to implement a		esigned to retain students N/AP	s from one ye	ear to the next through
7.	Was the retention activity foo					
		YES	NO	N/AP		
		pulation(s) targeted: Freshn		, Sophomore, J	unior	_, Senior,
8.	Was the student retention act	ivity focused on specific de	partment(	s) or program(s) of the o	rganization?	
		YES	NO	N/AP		
9.	If the student retention activi or program?  Name of Department  Retention at the beginning Retention at the end of the student retention activities activities are retention at the second retention at the second retention activities activities are retention at the second retention activities are retention activities.	ng of the grant% N/AP				•
10	of the grant?%	ed to the organization as a w N/AV		at was the organization's N/AP	retention rat	e at the beginning
11	. If the retention activity applie of the grant?%	ed to the organization as a w N/AV	whole, wh	at was the organization's N/AP	retention rate	e at the end
12	. What percentage of your facu	lty (full and part-time) receing N/AV	ived train	ing with Title III funds of N/AP	ver the grant	period?%
13	. How many full-time faculty	does your organization have	?	N/AV N/AP		
14	. How many full-time faculty v	were trained with Title III fu N/AV	nds over	the life of the grant? N/AP	_	
15	. If your Title III funds have b			g graduate or professional	l school degr N/AP	ees under a faculty

#### **PART III**

#### **QUALITATIVE COMPONENT: NARRATIVE**

Planning Grant and Design Project grantees should include under this component the answers to the following questions:

1.	What administrative units and academic departments were reviewed to identify critical problems that could lead to the formulation of an application for a development grant?		
2.	What specific tasks did you implement to prioritize the problems and identify the resources needed to improve your institution?		
3.	(a) At the conclusion of your planning grant, did you submit any Title III applications? If so, was your application funded?		
	Yes No N/AP		
	(b) At the conclusion of your design project, did you submit a MSEIP application to improve your institution's science and technology education programs? If so, was you application funded?		
	Yes No N/AP		

All other grantees, including MSEIP grantees, should describe the overall impact of their Title III grant in achieving the objectives of the Title III Program. Please limit your narrative to two (2) pages.

#### PART IV (optional)

#### SUGGESTIONS, RECOMMENDATIONS AND ADDITIONAL COMMENTS

### INSTITUTIONAL DEVELOPMENT AND UNDERGRADUATE EDUCATION SERVICE ISSUES OF MAJOR CONCERN ARE:

- Ways in which the Title III Programs might improve services to the eligible institutions/organizations.
- More effective ways for the programs to increase cooperation and collaboration between and among Title III-eligible institutions/organizations through cooperative agreement grants or partnerships with other federal or non-federal organizations, including those in the private sector.
- Improved on-going communication between Title III program officers and grantees.
- Development of effectively structured mechanisms for networking, dissemination of projects' accomplishments, and nation-wide recognition of exemplary projects funded by Title III. In addressing this concern, please, keep in mind that the Institutional Development and Undergraduate Education Service envisions substantial collaboration between grantee organizations and Title III Program staff in the implementation of these activities. Please limit your statement to two (2) pages.

### **APPENDIX**

#### Part A – Strengthening Institutions Program Final Performance Report

Institutional Certification (Cover Sheet)

#### **PROGRAM:**

#### Section I: Project Identification, Certification and Warning

Identification		
PR/Award Number:		
Address (City, State, Zip):		
Name of Project Director/Contact Person:		
Phone Number:	FAX:	
Email Address:		
Report Period: Month/Day/Year		
Type of Grant (Mark with an X): Cooperative:	Development:	
Planning: (Please submit	it separate reports for each grant)	
Total Amount Awarded: \$		
<b>Certification:</b> We certify that the information rep to the best of our knowledge.	ported herein is accurate, complete, and readily ve	rifiat
Name of Project Director (Print)	Name of Certifying Official (Print)	
Signature and Date	Signature and Date	

Authority: Public Law 102-325, as amended.

#### Part A – American Indian Tribally Controlled Colleges and Universities Program

#### Final Performance Report Institutional Certification (Cover Sheet)

#### **PROGRAM:**

#### Section I: Project Identification, Certification and Warning

Identification		
PR/Award Number	::	
Name of Grantee:		
Address (City, Stat	e, Zip):	
Name of Project D	irector/Contact Person:	
Phone Number:		FAX:
Email Address:		
Report Period:	t Month/Day/Year	o Month/Day/Year
		Development:
Planning:	(Please submit sep	arate reports for each grant)
Total Amount Awa	rded: \$	_
Certification: We to the best of our k		I herein is accurate, complete, and readily verifia
Name of Project D	irector (Print)	Name of Certifying Official (Print)
Signature and Date		Signature and Date

Authority: Public Law 102-325, as amended.

## Part A – Alaska Native Serving Institutions Program Final Performance Report Institutional Certification (Cover Sheet)

#### **PROGRAM:**

#### Section I: Project Identification, Certification and Warning

A.	Identification	
1.	PR/Award Number:	
2.	Name of Grantee:	
3.	Address (City, State, Zip):	
4.	Name of Project Director/Contact Person:	
5.	Phone Number: F	'AX:
	Email Address:	
6.	Report Period:Month/Day/Year	
8.	Type of Grant (Mark with an X): Cooperative:	
	Planning: (Please submit sepa	arate reports for each grant)
9.	Total Amount Awarded: \$	_
В.	<b>Certification:</b> We certify that the information reported to the best of our knowledge.	herein is accurate, complete, and readily verifiable
	Name of Project Director (Print)	Name of Certifying Official (Print)
	Signature and Date	Signature and Date
C.	<b>Warning:</b> Further Federal Funds or other benefits may completed and filed as required by existing law (20 U.)	

Authority: Public Law 102-325, as amended.

# Part A – Native Hawaiian Serving Institutions Program Final Performance Report Institutional Certification (Cover Sheet)

#### **PROGRAM:**

#### Section I: Project Identification, Certification and Warning

Identification	
PR/Award Number:	
Name of Grantee:	
Address (City, State, Zip):	
Name of Project Director/Contact Person:	
Phone Number:	FAX:
Email Address:	
	to Month/Day/Year
Type of Grant (Mark with an X): Cooperative:	Development:
Planning: (Please sub	mit separate reports for each grant)
Total Amount Awarded: \$	
<b>Certification:</b> We certify that the information reto the best of our knowledge.	reported herein is accurate, complete, and readily verifiable
Name of Project Director (Print)	Name of Certifying Official (Print)
Signature and Date	Signature and Date

Authority: Public Law 102-325, as amended.

#### Part A – Strengthening Hispanic Serving Institutions Program Final Performance Report

Institutional Certification (Cover Sheet)

#### **PROGRAM:**

#### Section I: Project Identification, Certification and Warning

Α.	Identification		
1.	PR/Award Number:		
2.	Name of Grantee:		
3.	Address (City, State, Zip):		
4.	Name of Project Director/Contact Person:		
5.	Phone Number:		
	Email Address:		
6.		to Month/Day/Year	
7.	Type of Grant (Mark with an X): Cooperative:	Development:	
	Planning: (Please submit se	parate reports for each grant)	
8.	Total Amount Awarded: \$		
B.	<b>Certification:</b> We certify that the information reported herein is accurate, complete, and readily verifiable to the best of our knowledge.		
	Name of Project Director (Print)	Name of Certifying Official (Print)	
	Signature and Date	Signature and Date	

**C. Warning:** Further Federal Funds or other benefits may be withheld under this program unless this report is completed and filed as required by existing law (20 U.S.C. 1231a) and regulations (34CFR 75.590 and 75.720)

#### Part B – Strengthening Historically Black Colleges and Universities Program Final Performance Report

Institutional Certification (Cover Sheet)

#### **PROGRAM:**

#### Section I: Project Identification, Certification and Warning

Α.	Identification		
1.	PR/Award Number:		
2.	Name of Grantee:		
3.	Address (City, State, Zip):		
4.	Name of Project Director/Contact Person:		
5.	Phone Number:	FAX:	
	Email Address:		
6.	Report Period:Month/Day/Year		
	Month/Day/Year		Month/Day/Year
8.	Total Amount Awarded: \$		
В.	<b>Certification:</b> We certify that the information r to the best of our knowledge.	reported herein	is accurate, complete, and readily verifiable
	Name of Project Director (Print)		Name of Certifying Official (Print)
	., ( ,,		J
	Signature and Date		Signature and Date

C. Warning: Further Federal Funds or other benefits may be withheld under this program unless this report is completed and filed as required by existing law (20 U.S.C. 1231a) and regulations (34CFR 75.590 and 75.720)

# Part B – Strengthening Historically Black Graduate Institutions Final Performance Report Institutional Certification (Cover Sheet)

#### **PROGRAM:**

#### Section I: Project Identification, Certification and Warning

A.	Identification
1.	PR/Award Number:
2.	Name of Grantee:
3.	Address (City, State, Zip):
4.	Name of Project Director/Contact Person:
5.	Phone Number: FAX:
	Email Address:
6.	Report Period: to Month/Day/Year Month/Day/Year
8.	Total Amount Awarded: \$
B.	<b>Certification:</b> We certify that the information reported herein is accurate, complete, and readily verifiable to the best of our knowledge.
	Name of Project Director (Print)  Name of Certifying Official (Print)
	Signature and Date Signature and Date
C.	<b>Warning:</b> Further Federal Funds or other benefits may be withheld under this program unless this report completed and filed as required by existing law (20 U.S.C. 1231a) and regulations (34CFR 75.590 and 75.720)

## Part E – Minority Science and Engineering Improvement Program Final Performance Report Institutional Certification (Cover Sheet)

#### **PROGRAM:**

#### Section I: Project Identification, Certification and Warning

A.	Identification	
1.	PR/Award Number:	
2.	Name of Grantee:	
3.	Address (City, State, Zip):	
4.		
5.	Phone Number:	FAX:
	Email Address:	
6.		to Month/Day/Year
8.	Type of Grant (Mark with an X): Cooperative:	
	Design: (Please submi	Special: t separate reports for each type of grant)
9.	Total Amount Awarded: \$	
В.	<b>Certification:</b> We certify that the information rep to the best of our knowledge.	ported herein is accurate, complete, and readily verifiable
	Name of Project Director (Print)	Name of Certifying Official (Print)
	Signature and Date	Signature and Date

**C. Warning:** Further Federal Funds or other benefits may be withheld under this program unless this report is completed and filed as required by existing law (20 U.S.C. 1231a) and regulations (34CFR 75.590 and 75.720)